

Policies & Procedures for Hedge Funds



An all inclusive solution to assembling and updating hedge fund adviser manuals required by regulators as of February 1, 2006. Authored by a team of experts in investment management, Policies & Procedures for Hedge Funds provides expert guidance in creating a compliance manual that meets all regulatory requirements.

Policies & Procedures for Hedge Funds is the only solution that combines the content expertise of the most trusted name in the compliance business with the flexibility to integrate your existing content into one revolutionary workflow solution.

Policies & Procedures for Hedge Funds Can Help You:

- Assemble and update hedge fund supervisory procedures required by regulators
- Edit and customize your content in a web-based, Word-friendly environment
- Seamlessly integrate your existing content
- Approve and publish your manual throughout your organization via the web
- Monitor and organize all key regulatory and filing deadlines

Complete Regulatory Coverage

There was a time when hedge funds operated in a virtually regulatory free environment. Times have changed. Hedge funds must comply with complex regulations and take steps to reduce regulatory exposure. Policies & Procedures for Hedge Funds addresses the Securities Act of 1933, Investment Advisers Act of 1940 and other relevant laws. It also provides procedures for relying on exceptions to the Investment Company Act of 1940, the Commodity Exchange Act and certain other statutes.

Comprehensive Chapters

The Hedge Fund Manual contains 22 comprehensive chapters covering a wide variety of compliance issues that you will confront in your day-to-day operations, including:

- Marketing (do's and don'ts)
- Employees (including personal trading)
- New Investors (eligibility and Anti-Money Laundering)
- Formation (step-by-step fund set-up procedures)
- Investment Management (risk monitoring)

User Friendly, Web-Based Solution

Web-based editing in a Word-friendly environment gives you the ability to make changes and customize your content. It also enables multiple editors, which you authorize, to work on different sections of the same manual – directly on the web. Approve edits, publish a new version and securely distribute your manual to your entire organization via the web – easily and effortlessly.

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Complete Customization

Our powerful Policies & Procedures Wizard guides you through the manual assembly process. Each question is designed to gather information about your firm in order to provide customized content for your manual. Policies & Procedures for Hedge Funds allows you to seamlessly integrate your existing content as needed. Once created, our online editing platform allows you to easily apply firm-specific information to your compliance manual.

Analytical Updates

Updates are published regularly, eliminating the need to monitor and interpret all the regulatory changes that affect hedge fund advisers. Our service provides your firm with the research, analysis and preparation of compliance policies and procedures that you can confidently apply to your manual.

Archiving and Disaster Recovery

Previous versions of your manual are indexed and archived according to date for easy reference. The manuals are hosted at a secure facility, ensuring that your most important work is safeguarded and available at any time.

Newsletter and Calendar

Our quarterly newsletter provides in-depth explanation and insight on hot topics and recent regulatory changes. The Compliance Calendar allows you to monitor and organize all key regulatory and filing deadlines in one place.

Proven Compliance Expertise

Along with our team of professionals, Policies & Procedures for Hedge Funds is authored by a former SEC attorney who has advised many of the nation's largest investment advisory firms on compliance issues. Applying this knowledge and expertise, Policies & Procedures for Hedge Funds delivers the most complete, succinct and easy-to-read compliance procedures on the market today.

To learn more details,
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